22883

TRANSMITTAL

**FORM** 

(to be used for all correspondence after initial filing)

Commissioner for Patents, Washington, DC 20231.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/672,081

Sep 26, 2003

Clark

der the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Application Number** 

**First Named Inventor** 

**Filing Date** 

		Group Art Unit	3629						
		Examiner Name	NYA						
Total Number of Pages in This Submission	5+	Attorney Docket Number	215.10	019.01					
ENCLOSURES (check all that apply)									
Fee Transmittal Form		ignment Papers an Application)		After Allowance Communication to Group					
Fee Attached	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Peti	tion		Proprietary Information					
Affidavits/declaration(s)		tion to Convert a visional Application		Status Letter					
Extension of Time Request	Pov Cha	ver of Attorney, Revocation unge of Correspondence Address	$\boxtimes$	Other Enclosure(s) (please identify below):					
Express Abandonment Request	Ten	minal Disclaimer		PTO Form SB08A PTO Form SB08B					
Information Disclosure Statement	Request for Refund			Copies of References Return Postcard					
Certified Copy of Priority Document(s)	CD	Number of CD(s)							
Response to Missing Parts/ Incomplete Application	lemarks								
Response to Missing Parts under 37 CFR 1.52 or 1.53									
SIGNATUR	RE OF A	PPLICANT, ATTORNEY, OR	AGEN	Т					
Firm or Individual name  Steven A. Swern	nofsky			Reg. no. 33,040					
Signature 54Sueur	Signature Salverrolder								
Date 2-26-2004									
	CERTIFICATE OF MAILING								
I hereby certify that this correspondence is envelope addressed to: Commissioner for P on this date:				Service as first class mail in an					
Type or printed name ByD 9 S70	สเตร		-	V-1 0/1 00-1					
Signature		_	Date	2/17/2004					
Burden Hour Statement: This form is estimated to	take 0.2 h	ours to complete. Time will vary de	pendina ı	0,7,00					

Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant



Attorney Docket 215.1019.01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of: Clark	Art Unit:	3629
Serial No.	10/672,081	Examiner:	NYA
Filed:	9/26/2003		
For:	Visibility and Synchronization in a Multi Tier Supply Chain Model		

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Name

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on 2/27/2011 by

## **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

$\boxtimes$	37 CFR 1.97(b) (Within three months of the national application filing or the entry of international application, OR before the mailing date of first Office Action, whichever occurs last.)
	37 CFR 1.97(c) together with either a:
	Statement under 37 CFR 1.97(e)
<u>or</u>	D 0100 00 f (5 11 : 27 CED 1 17/)
	(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance, whichever occurs first.)
	37 CFR 1.97(d) together with a:
	Statement under 37 CFR 1.97(e)
and	\$180.00 fee set forth in 37 CFR 1.17(p)
	(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

## **List of Documents**

Applicant(s) submits herewith:	
• • • • • • • • • • • • • • • • • • •	re Statement by Applicant) listing patent a might be material to the examination of this duty to disclose in accordance with 37 CFR 1.56.
	re Statement by Applicant) listing non-patent might be material to the examination of this duty to disclose in accordance with 37 CFR 1.56.
Copies of Documents	
Applicant(s) submits herewith copies of	all listed documents.
Copies of the listed documents are not in documents were previously submitted in	
Docket No.: Serial No.: Filed:	
	AGED AND EXHORTED TO MAKE HIS OR OF POSSIBLE RELEVANCE OF THE LISTED
	s) submits that the listed document(s), taken alone r obvious the present invention. Consideration of espectfully requested.
In the event fees are required, at to Deposit Account No. 50-0365.	uthorization is hereby granted to charge these fees
It is requested that the informati application.	on disclosed herein be made of record in this
	Respectfully submitted,
Date: 2-26-2004	Stowenofthy
	Steven A. Swernofsky Reg. No. 33,040

Swernofsky Law Group P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700 NUR Please type a plus sign (+) inside this box → ⊞

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

			sons are required to re	spond to a collection of information	unless it displays a valid OMB control number	
Substitu	te for form 1449A	/PTO		Complete if Known		
				<b>Application Number</b>	10/672,081	
INFO	RMATION	I DISC	LOSURE	Filing Date	9/26/2003	
STATEMENT BY APPLICANT				First Named Inventor	Clark	
				Group Art Unit	3629	
	(use as many she	eets as nec	essary)	Examiner Name	NYA	
Sheet	1	of	1	Attorney Docket Number	215.1019.01	

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No¹	U.S. Patent Document  Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	1	5,924,072	Α	Havens	07-13-1999				
	2	6,115,690	Α	Wong	09-05-2000				
	3	6,163,859	Α	Lee et al.	12-19-2000				
	4	6,202,159	B1	Ghafir et al.	03-13-2001	<del>-</del> ·			
	5	6,292,830	B1	Taylor et al.	09-18-2001				
	6	6,314,468	B1	Murphy et al.	11-06-2001	ř			
	7	2002/0010741	A1	Stewart et al.	01-24-2002				
	8	2002/0099598	A1	Eicher, Jr. et al.	07-25-2002				
				•					
		·							

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Office <sup>3</sup>	oreign Patent Document  Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
	1	wo	02/080042	A1	Clark	10-10-2002			
	2	wo	03/030063	A1	Clark	04-10-2003			
	3	WO	03/030065	A1	Clark	04-10-2003			
								—	
	1					ļ		+-	
	ļ							+	
						-			
	<del></del>					<del></del>		+	

		_
Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

\*\*EXAMINEH: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\*Unique citation designation number. \*\*See attached Kinds of U.S. Patent Documents. \*\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*\*Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

PTC/SB/08B (08-00)
Please type a plus sign (+) inside this box  $\rightarrow \boxplus$ Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ute for form 1449A/	РТО		Complete if Known		
			Application Number	10/672,081	
PRMATION	DISC	CLOSURE	Filing Date	9/26/2003	
TEMENT B	Y AP	PLICANT	First Named Inventor	Clark	
			Group Art Unit	3629	
(use as many shee	ets as ne	cessary)	Examiner Name	NYA	
1	of	1	Attorney Docket Number	215.1019.01	
	RMATION TEMENT B	TEMENT BY AP	PRMATION DISCLOSURE TEMENT BY APPLICANT  (use as many sheets as necessary)	Application Number  Filing Date  First Named Inventor  Group Art Unit  Examiner Name	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>					
	1	DESCARTES.COM. "Inventory Demand Matcher." 2001, <a href="http://www.descartes.com">http://www.descartes.com</a> , The Descartes Systems Group Inc.						

i	Examiner	Date	
	Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.